

Case Conclusion Data Sheet

Name _____
Phone _____
Date _____

A. Case and Facility Background

1. OECA DOCKET System # _____
2. Court Docket/Regional Hearing Clerk Administrative Docket # _____
3. Case name _____

Information for one Facility : (If more facilities, attach additional pages.)

- 4.(a) EPA Program ID # for the facility _____
(b) EPA-FLA # _____ (if (a) not available or applicable)
5. Facility Name _____
6. Facility Address: Street: _____ City: _____ St: _____ Zip: _____
- 7.(a) Primary 4-digit SIC-code _____ b) Other 4-digit SIC-codes _____, _____, _____, _____

- 8.(a) EPA Lead Attorney _____
- 8.(b) EPA Program Contact _____

9. Statute(s) and Section(s) violated (Not authorizing section nor CFR) _____ / _____, _____ / _____,
_____ / _____, _____ / _____, _____ / _____, _____ / _____, _____ / _____

10. Authorizing section for administrative actions _____ / _____

11. Action Type

- ☐ (a) Consent decree or court order resolving a civil judicial action
- ☐ (b) Administrative Penalty Order (with/without injunctive relief)
- ☐ (c) Superfund administrative cost recovery agreement
- ☐ (d) Federal facility compliance agreement (not incl. RCRA matters)
- ☐ (e) Field citation
- ☐ (f) Administrative Compliance Orders
- ☐ (g) Notice of Determination

12. Administrative action date: Issued/Filed _____ Final Order _____
-OR- Civil Judicial action date: Settlement Lodged _____ Settlement Entered _____

13. Was this a multi-media action? ☐ Yes ☐ No

Check all that apply/make this action multi-media: ☐ inspection ☐ complaint ☐ settlement ☐ SEP

14. Was the Agency activity taken in response to Environmental Justice concerns? ☐ Yes ☐ No

15. Was Alternative Dispute Resolution used in this action? ☐ Yes ☐ No

16. If this action was taken as part of an FY 2000/2001 MOA Priority Activity, it should be reported by SIC on the Facility Information Screen and, where necessary, through the Violation Information Screen using the appropriate code noted in brackets. (See Section One of RECAP and the Data Element Dictionary for additional Definitions).

Wet Weather: CSO (CSO) _____; CAFO (AFLOT) _____; Stormwater (STORM) _____ SS0 (SSO) _____

Petroleum Refining - Refinery Fuel Gas (REFFG) _____; LDAR (LDAR) _____; Benzene Waste (BENZW) _____

Iron and Steel -SIC Code 3312, 3315,3316,3317 and for unregulated wastes (UNREG) _____; KO 61 Noncompliance (RKOGI) _____

Primary Non Ferrous Metals: SIC Code: 3331, 3334, 3339; and for Bevil enforcement actions (BEVIL) _____;

Chemical Sector: SIC 2869, 2899 _____:

SDWA Microbial: TCR violations (PWTCR) _____; SWTR violations _____ (SWTR)

Metal Services: SIC 3471, 3479

RCRA Permit Evaders: (RCRPE) _____; and for misidentified wastes (RMISWT) _____

CAA Air Toxics and NSR/PSD: (NSR) _____; (PSD) _____ (Coal-Fired Power Plant) SIC 4911,493 _____

B. Injunctive Relief and Other Compliance Activities(Non-SEP Related) (PENALTY ONLY ACTIONS SHOULD SKIP THIS SECTION)

17. What action did violator accomplish prior to receipt of settlement/order or will take to return to compliance or meet addl. requirements? This may be due to settlement/order requirements or otherwise required by statute or regulation. Include actions completed prior to the final settlement/order and actions to be taken by violator to return to compliance or meet additional requirements. Where separate penalty and/or compliance orders are issued in connection w/same violations(s), report the following information for only one of those orders. Select response(s) from the following:

Physical

Use Reduction _____
 Industrial Process Change _____
 Emissions/Discharge Change(install/modify controls) _____
 Storage/Disposal Change _____
 Remediation _____
 Restoration _____
 Removal _____
 RD/RA _____
 Other (please describe)_____

Non-Physical

Testing _____
 Auditing _____
 Monitoring/Sampling _____
 Recordkeeping _____
 Labeling/Manifesting _____
 Reporting _____
 Information Letter Response _____
 Permit Application _____
 Training _____
 Provide Site Access _____
 Site Assessment _____
 RI/FS _____
 Environmental Management Reviews _____
 Other (please describe)_____

18. Cost of actions described in item #16. (Actual cost data supplied by violator is preferred figure.)

Physical actions: \$ _____ Non-physical actions: \$ _____

19. Quantitative environmental impact of actions described in item #16:

REDUCTIONS/ELIMINATIONS:

Pollutant	Amount	Units	Media
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

C. Supplemental Environmental Project (SEP) Information

20. Categories of SEP(s) (Check all appropriate categories; if no proceed to #25)

- ___ (a) Public Health
- ___ (b) Pollution Prevention
- ___ (1) equipment/technology modifications
- ___ (2) process/procedure modification
- ___ (3) product reformulation/redesign
- ___ (4) raw materials substitution
- ___ (5) improved housekeeping/O&M/training/inventory-control
- ___ (6) in-process recycling
- ___ (7) energy efficiency/conservation
- ___ (c) Pollution Reduction
- ___ (d) Environmental Restoration and Protection
- ___ (e) Assessments and Audits
- ___ (f) Environmental Compliance Promotion
- ___ (g) Emergency Planning and Preparedness
- ___ (h) Other SEP category (specify)_____

21. SEP description _____

22. Cost of SEP. Cost calculated by the Project Model is preferred. \$ _____

23. Is Environmental Justice addressed by SEP? __ Yes __ No

24. Quantitative environmental impact of SEP: pollutants and/or chemicals and/or waste-streams,
and amount of reductions/eliminations (e.g., emissions/discharges)

Pollutant	Amount	Units	Media
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

D. Penalty (if there is no penalty, enter 0 and proceed to #27)

25.(a) Assessed Penalty \$ _____

25.(b) (if shared) Federal share \$ _____

25.(c) (if shared) State or Local share \$ _____

26. For multi-media actions, Federal amounts by statute:	Statute	Amount
	_____	\$ _____
	_____	\$ _____
	_____	\$ _____

E. Cost Recovery

27. Amount cost recovery awarded: \$ _____ EPA

\$ _____ State and/or Local Government \$ _____ other

Please attach additional Conclusion Sheets or sheets of paper to provide information which does not fit on initial Case Conclusion Data Sheet.